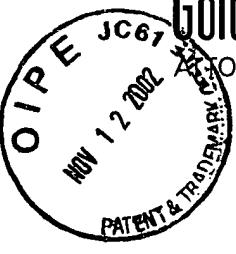


1644



Robert Greene Sterne
 Edward J. Kessler
 Jorge A. Goldstein
 David K.S. Cornwell
 Robert W. Esmond
 Tracy-Gene G. Durkin
 Michele A. Cimbala
 Michael B. Ray
 Robert E. Sokohl
 Eric K. Steffe
 Michael Q. Lee
 Steven R. Ludwig
 John M. Covert
 Linda E. Alcorn
 Robert C. Millonig
 Lawrence B. Bugainsky
 Donald J. Featherstone
 Michael V. Messinger

Judith U. Kim
 Timothy J. Shea, Jr.
 Patrick E. Garrett
 Jeffrey T. Helvey
 Heidi L. Kraus
 Crystal D. Sayles
 Edward W. Yee
 Albert L. Ferro*
 Donald R. Banowitz
 Peter A. Jackman
 Molly A. McCall
 Teresa U. Medler
 Jeffrey S. Weaver
 Kendrick P. Patterson
 Vincent L. Capuano
 Albert J. Fasulo II*
 Eldora Ellison Floyd
 Thomas C. Fiala

Brian J. Del Buono
 Virgil Lee Beaston*
 Kimberly N. Reddick
 Theodore A. Wood
 Elizabeth J. Haanes
 Bruce E. Chalker
 Joseph S. Ostroff
 Frank R. Cottingham
 Christine M. Lhulier
 Rae Lynn Prengaman
 Jane Shershenovich*
 Lawrence J. Carroll*
 George S. Bardmesser
 Daniel A. Klein*
 Rodney G. Maze
 Jason D. Eisenberg
 Michael A. Specht*
 Andrea J. Kamage

Registered Patent Agents*
 Karen R. Markowitz
 Nancy J. Leith
 Ann E. Summerfield
 Helene C. Carlson
 Gaby L. Longsworth
 Matthew J. Dowd
 Aaron L. Schwartz
 Angelique G. Uy
 Boris A. Matvenko
 Mary B. Tung
 Katrina Y. Pei
 Bryan L. Skelton
 Robert A. Schwartzman
 John J. Figueira
 Timothy A. Doyle
 Jennifer R. Mahalingappa
 Jeff Lungren

Teresa Colella
 Jon Wright
 Victoria Rutherford
 W. Brian Edge
 Senior Counsel
 Samuel L. Fox
 Kenneth C. Bass III
 Lisa A. Dunner

*Admitted only in Maryland
 *Admitted only in Virginia
 *Admitted only in Texas
 •Practice Limited to
 Federal Agencies

November 12, 2002

WRITER'S DIRECT NUMBER:
 (202) 371-2641

INTERNET ADDRESS:
 HCARLSON@SKGF.COM

Commissioner for Patents
 Washington, D.C. 20231

Art Unit 1644

TECH CENTER 1600/2900
 NOV 15 2002

RECEIVED
 NOV 15 2002

Re: U.S. Utility Patent Application
 Appl. No. 09/583,200; Filed: May 30, 2000
 For: **HLA Class I A2 Tumor Associated Antigen
 Peptides and Vaccine Compositions**
 Inventors: **FIKES et al.**
 Our Ref: 2060.0150002/EKS/HCC

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal Form (PTO/SB/17);
2. Petition for Extension of Time Under 37 C.F.R. § 1.136(a)(1);
3. Third Preliminary Amendment with attachments (Tables I & II);
4. Provisional Election of Species;
5. Our check no. 37307 for \$2,588.00; and
6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
November 12, 2002
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Helene C. Carlson
Agent for Applicants
Registration No. 47,473

HCC/PAC:drb
Enclosures

::ODMA\MHODMA\SKGF_DC1;74625;1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 2,588.00)

Complete If Known

| | |
|----------------------|----------------------|
| Application Number | 09/583,200 |
| Filing Date | May 30, 2000 |
| First Named Inventor | FIKES et al. |
| Examiner Name | Schwadron, R.B. |
| Group Art Unit | 1644 |
| Attorney Docket No. | 2060.0150002/EKS/HCC |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number

19-0036

Deposit Account Name

Sterne, Kessler, Goldstein & Fox P.L.L.C.

Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other*

*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|----------------------------|-----------------|----------|
| 101 | 740 | 201 | 370 |
| 106 | 330 | 206 | 165 |
| 107 | 510 | 207 | 255 |
| 108 | 740 | 208 | 370 |
| 114 | 160 | 214 | 80 |
| SUBTOTAL (1) (\$ 0.00) | | | |

2. EXTRA CLAIM FEES

| Extra | Fee from below | Fee Paid | |
|-----------------------------------|----------------------------|-----------------|-----|
| Total Claims 169 - 36** = 133 | X 9.00 | = 2,394.00 | |
| Indep. Claims 4 - 3 ** = 1 | X 42.00 | = 42.00 | |
| Multiple Dependent | | = | |
| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | |
| 103 | 18 | 203 | 9 |
| 102 | 84 | 202 | 42 |
| 104 | 280 | 204 | 140 |
| 108 | 84 | 209 | 42 |
| 110 | 18 | 210 | 9 |
| SUBTOTAL (2) (\$ 2,478.00) | | | |

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee paid |
|----------|----------|----------|----------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | 110.00 |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 130 | 123 | 130 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 481 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify):

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 110.00)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|--------------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Helene C. Carlson | Registration No. (Attorney/Agent) | 47,473 | Telephone | 202-371-2600 |
| Signature | <i>Helene C. Carlson</i> | | | Date | 11/12/2002 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SKGF Rev. 6/11/02 mmac

#74631v1<SKGF_DC1> -fee transmittal form.wpd

RECEIVED
TECH CENTER 1600/2900
NOV 15 2002